



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 21, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

Signature

July 21, 2004

Date of Signature

RECEIVED

JUL 28 2004

Technology Center 2600

Our Case No.: 9683/87

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroji SAITO  
Tatsuji NAGAOKA  
Tomoko MATSUURA  
Mariko WAKAIZUMI

Examiner: LE, Duy K.

Group Art Unit No.: 2685

Serial No.: 09/889,841

Filing Date: July 20, 2001

For: INFORMATION DELIVERY SYSTEM,  
MOBILE COMMUNICATION TERMINAL  
AND INFORMATION DELIVERY METHOD

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. 1.97(C)

Mail Stop: Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Fourth Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Prior art references E1, E3 and E4 were cited in an Office Action issued in a counterpart Chinese application. A copy of the Office Action is also enclosed with this Statement. Prior art reference E2 is being submitted, which is a U.S. counterpart of prior art reference E4. Prior art reference E5 is also being submitted with this Statement, which is a EPO counterpart of prior art reference E3.

In accordance with 37 C.F.R. § 1.97(g),(h), this Fourth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).


This Fourth Supplemental Information Disclosure Statement is being filed under 35 C.F.R. 1.97(c) after the mailing date of a first Office Action but before the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application.

As noted on the first page of the Chinese Office Action, the Office Action was mailed on April 23, 2004. In accordance with 37 C.F.R. § 1.97(c)(1), Applicants hereby certify that each item of the information disclosed in this Fourth Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of

the present statement. Therefore, no fees are believed to be due in connection with filing of this Fourth Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

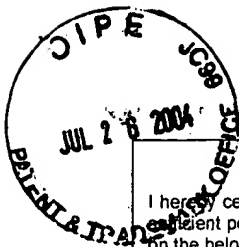
Respectfully submitted,



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Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200

2685  
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## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 21, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie***BRINKS  
HOFER  
GILSON  
& LIONE**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hiroji SAITO  
Tatsuji NAGAOKA  
Tomoko MATSUURA  
Mariko WAKAIZUMI

Appln. No.: 09/889,841

Filed: July 20, 2001

For: INFORMATION DELIVERY SYSTEM,  
MOBILE COMMUNICATION TERMINAL  
AND INFORMATION DELIVERY METHOD

Attorney Docket No: 9683/87

Examiner: LE, Duy K.

Art Unit: 2685

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Technology Center 2600

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450, Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Fourth Supplemental Information Disclosure Statement (in dup.), Copy of Chinese Office Action, Form PTO-1449, 5 Cited References
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

						Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus				x \$9=			x \$18=	
Indep.		Minus				x 43=			x \$86=	
First Presentation of Multiple Dep. Claim										
						Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

July 21, 2004  
Date

*Tadashi Horie*  
Tadashi Horie (Reg. No. 40,437)



JUL 28 2004

Technology Center 2600

FORM PTO 0449	SERIAL NO. 09/889,841	CASE NO. 9683/87
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE July 20, 2001	GROUP ART UNIT 2685
(use several sheets if necessary)		APPLICANT(S): Hiroji SAITO et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	E1	5,812,930	09/22/1998	Zavrel		
	E2	6,366,698 B1	04/02/2002	Yamakita		
	E					
	E					
	E					
	E					
	E					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	E3	CN 1209017 A	02/24/1999	China		Yes
	E4	CN 1194514 A	09/30/1998	China		Yes
	E5	EP 0 899 956 A2	03/03/1999	EPO		
	E					
	E					
	E					
	E					

EXAMINER  
INITIAL

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.